



ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

October 02, 2023

Mr. Kenneth Cherrier
Fidelity & Guaranty Securities, LLC
801 Grand Ave STE 2600
Des Moines IA 50309

Reference: **FX2023-0929-0001/E**
Link Reference: FR2023-0721-0369

Org Id: 315720

1. Confidence Builder Customer Brochure
Rule: FIN 2210
16 Pages

Total Fee: 

Dear Mr. Cherrier,

The communication submitted appears consistent with applicable standards.

Reviewed by,

Caroline J. Waugaman
Associate Director

cjw

Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.



NOTE: *We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.*